

**REMARKS**

The drawings associated with FIGs. 1-3 are objected to because they are not legible. In response thereto, Applicants have submitted replacement drawings.

Claims 19-22 are objected because of informalities. In response thereto, Applicants have amended several claims to address the issues raised by the Examiner.

Claims 1, 3-5, 7, 9-12, 14-16, 18, and 20-22 are rejected under 35 USC §102(a) as being anticipated by Matsuura et al., WO 02/10843 A2.

Independent claim 1 has now been amended to recite a photonic bandgap microcavity that includes a deformable membrane structure that can experience strain of at least 0.2% on the deformable membrane. A photonic bandgap waveguide element is formed on the deformable membrane structure having a defect so that when the deformable membrane structure is strained, the photonic bandgap waveguide element is tuned to a selective amount.

Independent claim 12 has also been amended to recite a method of forming a photonic bandgap microcavity, including providing a deformable membrane structure that can experience strain of at least 0.2% on the deformable membrane. The method also includes forming a photonic bandgap waveguide element on the deformable membrane structure having a defect so that when the deformable membrane structure is strained, the photonic bandgap waveguide element is tuned to a selective amount.

Matsuura et al. '843 describes a photonic crystal and a photonic device having a photonic crystal, configured by changing its physical geometry in at least one region to alter light propagation and/or confinement. The configuring means may include electrostrictive,

piezoelectric or magnetostrictive components of the photonic crystal, or an actuation device affixed to the photonic crystal.

However, Matsuura et al. '843 discusses photonic crystals and supports that have piezoelectric effects. The top and bottom electrodes of Matsuura et al. '843 show this limitation. The deformable membrane structure comprises semiconductor materials that do not exhibit piezoelectric effects. The requirement is the attachment of piezoelectric materials to the deformable membrane structure.

In contrast, the present invention translates the strain from the piezoelectric elements to the deformable membrane, and then to photonic crystals elements. This permits much larger design flexibility in designing and selecting the piezoelectric elements, the deformable membrane and the photonic crystals elements separately, before combining them into the final device. Therefore, Matsuura et al. '843 does not anticipate either of claims 1 or 12.

Furthermore, Matsuura et al. '843 describes a piezoelectric element being significantly deformed something. It is clear that the deformed membrane, as recited in claims 1 and 12, is being strained and not elongated or compressed. The deformed membrane is not designed to be permanently disfigured, but only strained. Therefore, Matsuura et al. '843 does not anticipate either of claims 1 or 12.

As to claims 3-5, 7, 9-11, 14-16, 18, and 20-22, they are dependent on claims 1 and 12, respectively. Therefore, claims 3-5, 7, 9-11, 14-16, 18, and 20-22 are also allowable for the same reasons argued with respect to claims 1 and 12.

Claims 2 and 13 are rejected under 35 USC §103 as being unpatentable over Matsuura et al. '843 in view of Caracci et al., US 6,445,838.

Caracci et al. '838 describes a bulk optical silica fiber.

Given that claims 2 and 13 are dependent on claims 1 and 12, the reasons argued for claims 1 and 12 are also applicable here. Also, Caracci et al. '838 does not address the deficiencies of Matsuura et al. '843. Therefore, the proposed combination of Matsuura et al. '843 and Caracci et al. '838 does not render obvious claims 2 and 13.

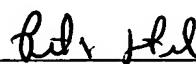
Claims 6, 8, 17, and 19 are rejected under 35 USC §103 as being unpatentable over Matsuura et al. '843.

Given that claims 6, 8, 17, and 19 are dependent on claims 1 and 12, the reasons argued for claims 1 and 12 are also applicable here. Also, the additional limitations of claims 6, 8, 17, and 19 further limit the inventive concept not taught by Matsuura et al. Therefore, Matsuura et al. '843 does not render obvious claims 6, 8, 17, and 19.

In view of the above amendments and for all the reasons set forth above, the Examiner is respectfully requested to reconsider and withdraw the objections and rejections made under 35 U.S.C. §§ 102 and 103. Accordingly, an early indication of allowability is earnestly solicited.

If the Examiner has any questions regarding matters pending in this application, please  
feel free to contact the undersigned below.

Respectfully submitted,

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